

Art Unit:

PATENT 213

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MYTTE UNITED STATES PATENT AND TRADEMARK OFFICE

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TC 2100 MAILROOM

In re application of:

William M. PARROTT

Serial No: 09/385,607

Filed:

August 30, 1999

For:

SECURE TRANSACTION MODEM

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Washington, D.C. 20231

Dear Sirs:

Examiner: (Not Assigned)

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is being deposited with the United States
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Louis A Mok, Reg. No. 22,585

1/3/01 ighature Date

- The information disclosure statement submitted herewith is being filed within three months of the filing date of the application other than a continued prosecution application, or within three months of the date of entry into the national stage of an international application, or before the mailing date of a first Office Action on the merits, or before the mailing of a first Office action after the filing of a request for continued examination under §1.114, whichever event occurs last. 37 C.F.R. §1.97(b).
 The information disclosure statement transmitted herewith is being filed after the period specified in §1.97(b), but before the mailing date of a final action under
 - period specified in §1.97(b), but *before* the mailing date of a final action under §1.113, or a notice of allowance under §1.311, or an action that otherwise closes prosecution in the application, whichever occurs first. A statement specified in §1.97(e) or a fee set forth in §1.17(p) is included. 37 C.F.R. §1.97(c).

§1.97(e) STATEMENT

I, the person signing below, state:

that each item of information contained in the information disclosure statement was first cited in the attached communication from a foreign patent office in a counterpart foreign application and that the communication is dated not more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(1).

OR

that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in

1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. 1.97(e)(2).

OR FEE

		ONFEE
		Attached is a fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(c). (\$180.00).
3.		The information disclosure statement transmitted herewith is being filed <i>after</i> the period specified in §1.97(c), but before, or simultaneously with the payment of the issue fee. A statement specified in §1.97(e) and a fee set forth in §1.17(p) are included. 37 C.F.R. §1.97(d).
		§1.97(e) STATEMENT
		I, the person signing below, state:
		that each item of information contained in the information disclosure statement was first cited in the attached communication from a foreign patent office in a counterpart foreign application and that the communication is dated not more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(1).
		OR
		that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(2).
		AND FEE
		Attached is a fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(d). (\$180.00).
4.	\boxtimes	If it should be determined that for any reason either an insufficient fee or an excessive has been paid, please charge any insufficiency or credit any overpayment necessary to ensure consideration of the information disclosure statement for the above-identified application to Deposit Account No. 50-1314. A copy of this petition is enclosed.
5.	\boxtimes	A list of <u>8</u> reference(s) is in the enclosed Form PTO-1449. A copy U.S. Patent 5,778,071 is not provided since it was submitted in a previous Information

Disclosure Statement in the present case and thus is already of record.

NON-ENGLISH LANGUAGE REFERENCES

	Enclosed is a search report for a counterpart application. The search report Examiner has provided comments on the relevancy of any non-English language references cited in the search report.
	The specification incorporates comments on the relevancy of Non-English language references.
	Set forth below are comments provided by the applicant's home country counsel on the relevancy of non-English language references: N/A
[C	OMMENTS OF APPLICANT'S HOME COUNTRY COUNSEL]

By:

Respectfully submitted, HOGAN & HARTSON L.L.P.

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Attorney for Applicant(s)

Date: January 3, 2001

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